

PATENT



22852

PATENT TRADEMARK OFFICE

Customer Number:

Attorney Docket No. 05725.1030-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Kalliope CHRISTOPHIDES-LORDI et al.**

Application No.: New U.S. Patent Application

Group Art Unit: Unassigned

Filed: February 22, 2002

Examiner: Unassigned

For: METHODS FOR MODIFYING THE  
APPEARANCE OF A SUBSTRATE

J1046 U.S. PTO  
10/080066



**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Copies of the listed documents, including any co-pending patent applications, are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

With respect to the non-English language documents, Applicants submit the following remarks:

1. **EP 0 792 636** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
2. **EP 0 819 428** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
3. **EP 0 997 139** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
4. **EP 1 034 776** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
5. **FR 2 791 565** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
6. **JP 2-295912** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
7. **WO 94/14413** - An English language abstract of this foreign language document can be found on the title page of this document.

Applicants have enclosed a copy of the priority application's French Search Report for the Examiner's consideration.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the


documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Thalia V. Warnement  
Reg. No. 39,064

Date: February 22, 2002

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

**INFORMATION DISCLOSURE CITATION**  
**(Us sev ral sh ts if nec ssary)**

Atty. Docket No.	05725.1030-00000	Application No.	Unassigned
Applicant	Kalliope CHRISTOPHIDES-LORDI et al.		
Filing Date	February 22, 2002	Group:	Unassigned

U.S. PTO  
10/080066  
03/22/02

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	1	5,389,363	Feb. 14, 1995	Synder et al.			
	2	5,518,712	May 21, 1996	Stewart			
	3	6,060,072	May 9, 2000	Konik et al.			

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	4	EP 0 792 636	Sep. 3, 1997	Europe			Abstract
	5	EP 0 819 428	Jan. 21, 1998	Europe			Abstract
	6	EP 0 997 139	May 3, 2000	Europe			Abstract
	7	EP 1 034 776	Sep. 13, 2000	Europe			Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	8	Co-pending Application No. 09/784,210
	9	
	10	

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

**INFORMATION DISCLOSURE CITATION**  
**(Use several sheets if necessary)**

Atty. Docket No.	05725.1030-00000	Application No.	Unassigned
Applicant	Kalliope CHRISTOPHIDES-LORDI et al.		
Filing Date	February 22, 2002	Group:	Unassigned

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation Yes or No
		FR 2 791 565	Oct. 6, 2000	France			Abstract
		JP 2-295912	Dec. 6, 1990	Japan			Abstract
		WO 94/14413	Jul. 7, 1994	PCT			Abstract
		WO 95/19161	Jul. 20, 1995	PCT			
		WO 97/17057	May 15, 1997	PCT			
		WO 97/42933	Nov. 20, 1997	PCT			
		WO 98/16196	Apr. 23, 1998	PCT			
		WO 99/22710	May 14, 1999	PCT			
		WO 00/41672	July 20, 2000	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce